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			Application Num	ber	10/007,364	
TRANSMITTAL		Filing Date		November 5, 2001		
	<b>FORM</b>		First Named Inve	entor	Aaron V. Kaplan	
(to be used for a	ll correspondence afte	er initial filing)	Group Art Unit		3731	
		Examiner Name	-	Julian W. Woo		
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Signature	Signature Mary W. McFaran					
Date	Date July 28, 2004					
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Aaron V. Kaplan, et al.	"Express Mail" Mailing Label No.: EV459450487US  Date of Deposit July 28, 2004
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Group Art No.: 3731	22313-1450  NAME Kathleen Johnson
Examiner: Julian W. Woo )	SIGNATURE <u>Hathlen Jahnson</u>
For: METHODS AND APPARATUS FOR ) TRANSPERICARDIAL LEFT )	7

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- 3. PTO/SB/08A (1 sheet);
- Certificate of Mailing (1 sheet);
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Signature: Yuthleen John Dom



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In Re Application of:	)
Aaron V. Kaplan, et al.	) CERTIFICATE OF MAILING BY "EXPRESS MAIL"
	) "Express Mail" Mailing Label No.: EV459450487US
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Examiner: Julian W. Woo	) NAME Kathleen Johnson
For: METHODS AND APPARATUS FOR TRANSPERICARDIAL LEFT	signature Hathlan Johnson
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### INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §1.97, Applicant hereby calls the Examiner's attention to the documents listed on the attached form, which the documents may be material to the examination of this application. Copies of these documents are enclosed herewith for the Examiner's consideration.

No inference should be drawn that any apparatus or method disclosed is equivalent to the subject invention. Also, the citation of the above-discussed documents is not to be construed as an assertion that more pertinent art could not possibly be in existence. Citation of the documents herein is not to be construed as an admission that any subject matter disclosed in the documents

is necessarily within the inventive field of endeavor, that any disclosure is necessarily prior in time to a particular date which may be relevant to the instant patent application, and/or that any disclosure is otherwise necessarily prior art with respect to the instant invention.

Applicant also respectfully reserves the right to later set forth how the instant invention is distinguished over the disclosure of any document or other art, including the disclosure of the documents discussed herein, that may be cited by the Examiner in rejecting a claim in the present patent application.

This Information Disclosure Statement is being mailed after the mailing date of a first Office Action on the merits but before The cited a final action or an action that closes prosecution. information was first called to Applicant's attention in an Office Action dated June 17, 2004 in related Application Number 10/105,978 filed on March 25, 2002. Accordingly, pursuant to 37 C.F.R. § 1.97(e)(2), it is hereby stated: no item of this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the best knowledge of the person signing this certification after making a reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to filing of this information disclosure statement. Consequently, as provided in 37 C.F.R. § 1.97(c), a fee under §1.17(p) is not required for the filing of this information disclosure. However, if it is determined that an additional fee is required, then authorization is hereby granted to charge Deposit Account 50-1039 any additional fee required.

(A duplicate of this document is enclosed herewith.)

Date: <u>July 28, 2004</u>

Respectfully submitted,

Gary W. McFarron, Esq.

Registration No. 27,357

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Attorneys for Applicant

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Substitute for form 1449/PTO

Sheet 1

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known			
Application Number	10/007,364		
Filing Date	November 5, 2001		
First Named Inventor	Aaron V. Kaplan		
Art Unit	3731		
Examiner Name	Julian W. Woo		
Attorney Docket Number	0313-0003 01		

			U. S. PATEN	F DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	<sup>US-</sup> 4,579,348	04-01-1986	Jones	
	2	<sup>US-</sup> 5,800,414	09-01-1998	Cazal	
,		US-			
	<b> </b>	US-			

FOREIGN PATENT DOCUMENTS						
Examiner Cite Initials* No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages		
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	ַ
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Examiner	Date	
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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